
Investment Banking Quiz 1

after financial crisis they became banks so they are subject to regulatory authority - investment bank

what is universal bank/examples of universal bank - a Universal Bank offers commercial banking and investment banking

JP Morgan, Citi

Investment Banking Division (IBD), also named "corporate finance or advisory" Provides what 2 services - capital raise (raising money through debt or equity markets)

advisory (M&A, LBO, restructuring)

Roles of Investment bank other than Capital Raise and Advisory - Sales and Trading

Research

Asset Management

Proprietary trading (ended by Dodd Frank Volcker Rule)

Private Banking

Sales and Trading provides _____ to the marketplace - liquidity

Sales and Trading often referred to as "market making" because they provide liquidity to the marketplace. Sales and Trading earns revenues via _____ and to a lesser extent _____

- S&T earns revenue via trading commission and to a lesser extent trading profits

Investment Answers

**Patrick R. Delaney, Ray Whittington, O.
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