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# Investment Banking Quiz 1

after financial crisis they became banks so they are subject to regulatory authority -     investment bank

what is universal bank/examples of universal bank -     a Universal Bank offers commercial banking and investment banking

JP Morgan, Citi

Investment Banking Division (IBD), also named "corporate finance or advisory" Provides what 2 services -     capital raise (raising money through debt or equity markets)

advisory (M&A, LBO, restructuring)

Roles of Investment bank other than Capital Raise and Advisory -     Sales and Trading

Research

Asset Management

Proprietary trading (ended by Dodd Frank Volcker Rule)

Private Banking

Sales and Trading provides \_\_\_\_\_ to the marketplace -     liquidity

Sales and Trading often referred to as "market making" because they provide liquidity to the marketplace. Sales and Trading earns revenues via \_\_\_\_\_ and to a lesser extent \_\_\_\_\_

-     S&T earns revenue via trading commission and to a lesser extent trading profits

# Investment Answers

**R Barnett**



## **Investment Answers:**

Investing, Questions and Answers ,1984      Solutions to Problems and Answers to Questions in Principles of Accounting  
Harry Anson Finney,1923      **United States Investor** ,1900      Wiley CPA Examination Review, Problems and Solutions  
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